**TRANSMITTAL
FORM***(to be used for all correspondence after initial filing)*

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/700,315
	Filing Date	October 31, 2003
	First Named Inventor	Schuster, Karl-Heinz
	Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	021833-000300US

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

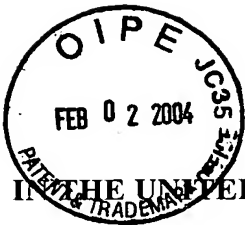
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Townsend and Townsend and Crew LLP Chun-Pok Leung	Reg. No. 41,405
Signature		
Date	1-30-04	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Elizabeth Nesbitt		
Signature		Date	1-30-04



PATENT
Attorney Docket No.: 021833-000300US
Client Reference No.: Z9099-US FS/OC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Karl-Heinz SCHUSTER

Application No.: 10/700,315

Filed: October 31, 2003

For: INTERFEROMETER APPARATUS
AND METHOD OF PROCESSING A
SUBSTRATE HAVING AN OPTICAL
SURFACE

Examiner: Unknown

Art Unit: Unknown

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Karl-Heinz SCHUSTER
Application No.:
Page 2

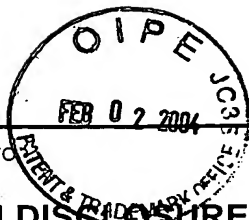
PATENT

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Chun-Pok Leung', written in a cursive style.

Chun-Pok Leung
Reg. No. 41,405

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RL:elg
60129470 v1



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1

of

Complete if Known

Application Number

Filing Date

First Named Inventor

Schuster, Karl-Heinz

Art Unit

Examiner Name

Attorney Docket Number

021833-000300US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	US-5,004,346		04-02-1991	Kuhel	
	AB	US-5,416,586		05-16-1995	Tronolone et al.	
	AC	US-5,625,454		04-29-1997	Huang, et al.	
	AD	US-6,128,080		10-03-2000	Janik, et al.	
	AE	US-6,188,477 B1		02-13-2001	Pu, et al.	
	AF	US-2002/0176090 A1		11-28-2002	Ohsaki, et al.	
	AG	US-4,732,483		04-22-1988	Biegen	
	AH	US-4,340,306		07-20-1982	Balasubramanian	
	AI	US-5,473,434		12-05-1995	de Groot	
	AJ	US-5,777,741		07-07-1998	Deck	
	AK	US-5,488,477		01-30-1996	de Groot	
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	AM	US-				
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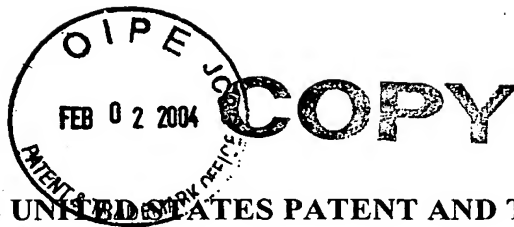
FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	AU	JP	10089935A					<input type="checkbox"/>
	AV	JP	10221010A					<input type="checkbox"/>
	AW	JP	08327453A					<input type="checkbox"/>
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	AY							<input type="checkbox"/>
	AZ							<input type="checkbox"/>
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

60129470 v1



PATENT
Attorney Docket No.: 021833-000300US
Client Reference No.: Z9099-US FS/OC

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